

1 **Homologous recombination deficiency in newly diagnosed**
2 **FIGO stage III/IV high-grade epithelial ovarian cancer: a multi-**
3 **national observational study**

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58 **Abstract**

59

60 *Objective*

61 Olaparib plus bevacizumab maintenance therapy improves survival outcomes in
62 women with newly diagnosed, advanced, high-grade ovarian cancers with a deficiency
63 in homologous recombination. We report data from the first year of routine
64 homologous recombination deficiency testing in the National Health Service (NHS) in
65 England, Wales and Northern Ireland between April 2021 and April 2022.

66

67 *Methods*

68 The Myriad myChoice® companion diagnostic was used to test DNA extracted from
69 formalin-fixed, paraffin-embedded tumour tissue in women with newly diagnosed
70 FIGO (The International Federation of Gynecology and Obstetrics) stage III/IV high-
71 grade epithelial ovarian, fallopian tube or primary peritoneal cancer. Tumours with
72 homologous recombination deficiency were those with a *BRCA1/2* mutation and/or a
73 Genomic Instability Score (GIS) of ≥ 42 . Testing was coordinated by the NHS Genomic
74 Laboratory Hub network.

75

76 *Results*

77 The myChoice® assay was performed on 2,829 tumours. Of these, 2,474 (87%) and
78 2,178 (77%) successfully underwent *BRCA1/2* and GIS testing, respectively. All
79 complete and partial assay failures occurred due to low tumour cellularity and/or low
80 tumour DNA yield. Three-hundred-and-eighty-five tumours (16%) contained a
81 *BRCA1/2* mutation and 814 (37%) had a GIS ≥ 42 . Tumours with a GIS ≥ 42 were more
82 likely to be *BRCA1/2* wild-type (n=510) than *BRCA1/2* mutant (n=304). The distribution

83 of GIS was bimodal, with *BRCA1/2* mutant tumours having a higher mean score than
84 *BRCA1/2* wild-type tumours (61 versus 33, respectively, chi-squared test $P < 0.0001$).

85

86 *Conclusion*

87 This is the largest real-world evaluation of homologous recombination deficiency
88 testing in newly diagnosed FIGO stage III/IV high-grade epithelial ovarian, fallopian
89 tube or primary peritoneal cancer. It is important to select tumour tissue with adequate
90 tumour content and quality to reduce the risk of assay failures. The rapid uptake of
91 testing across England, Wales and Northern Ireland demonstrates the power of
92 centralised NHS funding, centre specialisation and the NHS Genomic Laboratory Hub
93 network.

94

95 **Key messages**

96

97 *What is already known on this topic?*

98 Olaparib plus bevacizumab maintenance therapy improves survival outcomes in
99 women with newly diagnosed, advanced, high-grade ovarian cancers with a deficiency
100 in homologous recombination. There is a scarcity of real world evidence describing
101 the prevalence of homologous recombination deficiency.

102

103 *What this study adds?*

104 This is the largest real world evaluation of homologous recombination deficient tumour
105 testing in newly diagnosed FIGO (The International Federation of Gynecology and
106 Obstetrics) stage III/IV high-grade epithelial ovarian, fallopian tube or primary
107 peritoneal cancer. We report the prevalence of homologous recombination deficient
108 tumours in England, Wales and Northern Ireland as 37% using Myriad's myChoice®
109 companion diagnostic. The complete and partial failure rate of the assay was 13% and
110 23%, respectively. Tests failed due to low tumour cellularity and/or low tumour DNA
111 yield.

112

113 *How might this study affect research, practice or policy?*

114 This study highlights the importance of testing tumour DNA for a deficiency in
115 homologous recombination to optimise outcomes for women with newly diagnosed
116 FIGO stage III/IV high-grade epithelial ovarian, fallopian tube or primary peritoneal
117 cancer. Tumour tissue must be carefully selected prior to testing to reduce the chance
118 of assay failure. The rapid uptake of homologous recombination deficient tumour

119 testing demonstrates the power of centralised NHS funding, centre specialisation and
120 the NHS Genomic Laboratory Hub network.

121 **Introduction**

122 Ovarian cancer is the most common cause of gynaecological cancer-related death in
123 Europe (1). Epithelial ovarian cancer accounts for approximately 85 to 90% of all
124 ovarian cancers. The majority of women diagnosed with high-grade epithelial ovarian
125 cancer present with advanced disease (The International Federation of Gynaecology
126 and Obstetrics [FIGO] stage III and IV), meaning despite good response to first-line
127 multi-modality therapy, at least 80% develop relapsed disease, at which point cure is
128 unlikely (2). Consequently, the five-year overall survival for advanced ovarian cancer
129 is approximately 35% (3).

130 Maintenance therapy aims to extend relapse-free survival in patients at high
131 risk of recurrence, without impacting on quality of life (4). Randomised, phase III trials
132 have demonstrated that maintenance therapy with a poly(ADP-ribose) polymerase-
133 1/2 inhibitor (PARPi) improves progression-free survival in women with newly
134 diagnosed FIGO stage III/IV or platinum-sensitive, relapsed high-grade serous and/or
135 endometrioid ovarian cancer (5, 6, 7, 8, 9, 10, 11, 12, 13, 14). These small molecule
136 inhibitors of PARP-1/2 are synthetically lethal to cells deficient in homologous
137 recombination, a high-fidelity DNA double-strand break repair pathway that maintains
138 genomic stability (15, 16). The best-studied causes of homologous recombination
139 deficiency are loss-of-function mutations in *BRCA1* and *BRCA2*, which occur in 20 to
140 25% of high-grade serous ovarian cancers (17).

141 The myChoice® companion diagnostic (Myriad Genetics, Inc.) is a next-
142 generation sequencing assay used to detect a deficiency in homologous
143 recombination in genomic DNA derived from formalin-fixed, paraffin-embedded
144 tumour tissue (18). The assay reports homologous recombination deficient tumours

145 as those that harbour a *BRCA1/2* mutation and/or have a Genomic Instability Score
146 (GIS) of ≥ 42 . The phase III trial, PAOLA-1, showed an improved hazard ratio (HR) for
147 disease progression or death in women with newly diagnosed, advanced, high-grade
148 ovarian cancer who were randomised to maintenance olaparib plus bevacizumab
149 versus placebo plus bevacizumab, following a response to first-line platinum-taxane
150 chemotherapy (HR 0.59; 95% confidence interval [CI] 0.49-0.72) (11, 19). The
151 greatest reduction in HR was reported in women with tumours positive for homologous
152 recombination deficiency (HR 0.33; 95%CI 0.25-0.45). By contrast, those women with
153 homologous recombination proficient tumours gained no benefit from the addition of
154 olaparib to bevacizumab (HR 1.00, 95%CI 0.75-1.35). More recently, data presented
155 from PAOLA-1 also showed that olaparib plus bevacizumab improved the overall
156 survival of women with homologous recombination deficient tumours (HR 0.62, 95%CI
157 0.45-0.85), but not in women with homologous recombination proficient tumour (HR
158 1.19, 95%CI 0.88-1.63) (20).

159 The results from PAOLA-1 led to European licensing of maintenance olaparib
160 plus bevacizumab for women with newly diagnosed FIGO stage III/IV high-grade
161 ovarian, fallopian tube or primary peritoneal cancers that responded to platinum-based
162 chemotherapy and were homologous recombination deficient. Consequently, access
163 to Myriad's myChoice® companion diagnostic became available in the United
164 Kingdom (UK) from April 2021 onwards. We report data from the first year of routine
165 tumour testing for homologous recombination deficiency in the National Health Service
166 (NHS) in England, Wales and Northern Ireland between April 2021 and April 2022.

167 **Methods**

168 *Eligibility criteria*

169 Eligibility criteria for myChoice® testing included women with newly diagnosed FIGO
170 stage III/IV high-grade epithelial ovarian, fallopian tube or primary peritoneal cancer.
171 Tumour testing was requested during first-line treatment. No patient who underwent
172 tumour testing as part of a clinical trial was included.

173

174 *Tumour testing*

175 Tumour testing was co-ordinated by the NHS Genomic Laboratory Hub network. The
176 hubs co-ordinating testing for England were North West, South West, Central and
177 South, and North Thames. All Wales Genomics Laboratory co-ordinated testing for
178 Wales. The North West Genomic Laboratory Hub co-ordinated testing for cancer
179 centres in Northern Ireland.

180 Myriad's myChoice® companion diagnostic became available in England,
181 Wales and Northern Ireland from April 2021, October 2021 and September 2021,
182 respectively. The UK includes Scotland, although myChoice® testing did not become
183 available in Scotland until after April 2022, therefore no cases from Scottish cancer
184 centres were included in this study. Local medical teams obtained informed consent
185 from the patient prior to tumour testing. All cancer centres were asked to provide 10 x
186 slide mounted formalin-fixed, paraffin-embedded tumour sections at a thickness of 5
187 µm.

188

189 *Myriad's myChoice® companion diagnostic*

190 The myChoice® test was performed by Myriad Genetics, Inc. (Salt Lake City, Utah)
191 (18). The GIS was calculated as a composite score (range 0 to 100) based on three
192 bioinformatic algorithms that assessed genome-wide putative biomarkers of
193 homologous recombination deficiency including Loss of Heterozygosity, Telomeric
194 Allelic Imbalance and Large-scale State Transitions. The Loss of Heterozygosity
195 score was defined by the number of loss of heterozygosity regions longer than 15
196 megabases but shorter than the whole chromosome. The Telomeric Allelic Imbalance
197 score was defined by the number of regions with allelic imbalance that extend to one
198 of the sub telomeres, did not cross the centromere, and were longer than 11
199 megabases. The Large-scale State Transitions score was defined by the number of
200 chromosomal breaks between two adjacent regions of at least 10 megabases, after
201 filtering out regions less than 3 megabases and adjusting for ploidy. To quantify Loss
202 of Heterozygosity, Telomeric Allelic Imbalance and Large-scale State Transitions the
203 myChoice® test interrogated >27,000 genome-wide single nucleotide polymorphisms.

204 Tumours with a GIS of ≥ 42 were reported as 'GIS-positive', while those with a
205 GIS of < 42 were reported as 'GIS-negative'. Tumours with a *BRCA1/2* mutation and/or
206 a GIS of ≥ 42 were reported as homologous recombination deficient, while those with
207 *BRCA1/2* wild-type and a GIS of < 42 were reported as homologous recombination
208 proficient. Only tumour *BRCA1/2* pathogenic or likely pathogenic variants were
209 reported (21).

210

211 *Statistical analysis*

212 Categorical data were reported as number (percentage). Continuous data were
213 reported as median (range and interquartile range) and mean (standard deviation).
214 The chi-squared test was used to determine if there were statistically significant
215 differences between categorical variables, with a p-value of <0.05 defined as
216 significant. The t-test was used to determine if there were statistically significant
217 differences between the mean averages of two groups, with a p-value of <0.05 defined
218 as significant.

219 In accordance with the journal's guidelines, we will provide our data for
220 independent analysis by a selected team by the Editorial Team for the purposes of
221 additional data analysis or for the reproducibility of this study in other centres if such
222 is requested.

223

224 **Results**

225 The myChoice® assay was performed on 2,829 tumours. The tumour content was
226 $\geq 30\%$ in 83% (n=2,362) of formalin-fixed, paraffin-embedded tissue sections tested.
227 Of the 2,829 tumours tested, 2,474 (87%) and 2,178 (77%) were successfully tested
228 for *BRCA1/2* and GIS, respectively. Testing failed due to low tumour cellularity and/or
229 low tumour DNA yield. In the UK, early testing (April to July 2021) showed a very high
230 rate of quantity insufficient cancellations as higher DNA inputs were required for
231 version 1 (legacy version) of the myChoice® test. The myChoice® test version 2
232 (improved version, due to higher yield DNA extraction and lower DNA input minimum)
233 was implemented from August 2021 onwards and the rate of sample failures
234 dramatically dropped (21.7% between April to July 2021, down to 5.3% between
235 August 2021 to April 2022).

236

237 *Tumour BRCA1/2 mutations*

238 Of the 2,474 tumours successfully tested, 385 (16%) *BRCA1/2* mutations were
239 detected (**Supplementary Table 1**). These included 220 (9%) *BRCA1* and 165 (7%)
240 *BRCA2* mutations. There were 308 (80%) distinct tumour *BRCA1/2* mutations (178
241 *BRCA1* and 130 *BRCA2*). There were no mutational hotspots in *BRCA1* or *BRCA2*,
242 with mutations detected across the length of each gene (**Figure 1**).

243 The majority of tumour *BRCA1/2* mutations were small deletions (172/385) or
244 single nucleotide variants (143/385) leading to premature protein terminations
245 (201/385 frameshift-deletions and 103/385 nonsense mutations) (**Table 1**). Small
246 deletions, duplications and insertions ranged from 1 to 116 base pairs in length. Of the
247 385 tumour *BRCA1/2* mutations, 360 (94%) were ≤ 40 base pairs in length and would
248 have been detected using local tumour *BRCA1/2* next-generation sequencing assays
249 used in the UK (22, 23). Twenty-one (5%) pathogenic large genomic rearrangements
250 were detected. All pathogenic large genomic rearrangements were large deletions
251 (21/21), with no pathogenic large duplications (0/21) detected. One whole gene
252 deletion was detected, in *BRCA2*.

253 We were unable to confirm which tumour *BRCA1/2* mutations were germline or
254 somatic. No genetic assay has been validated to distinguish between germline and
255 somatic *BRCA1/2* mutations from tumour DNA alone. However, multiple European
256 *BRCA1/2* founder mutations have been described, thereby allowing us to predict those
257 tumour *BRCA1/2* mutations that were most likely to be germline. Of the 385 tumour
258 *BRCA1/2* mutations, 79 (21%) were European *BRCA1/2* founder mutations, including
259 51 *BRCA1* and 28 *BRCA2*. There were 34 individual *BRCA1/2* founder mutations, of

260 which 16 (47%) were detected in 2 or more tumours. By contrast, of the remaining 306
261 tumour *BRCA1/2* mutations, there were 274 individual mutations, of which only 25
262 (25/274; 9%) were detected in 2 or more tumours (chi-squared test $P < 0.0001$). The
263 commonest European *BRCA1/2* founder mutations were *BRCA2:c.6275_6276delTT*
264 (n=9), *BRCA1:c.68_69delAG* (n=6), *BRCA1:c.5266dupC* (n=6) and
265 *BRCA2:c.5946delT* (n=6); *BRCA2:c.6275_6276delTT* is a founder mutation from the
266 UK and the other three *BRCA1/2* mutations are Ashkenazi Jewish founder mutations
267 (24, 25).

268

269 *Genomic Instability Score*

270 Of the 2,178 tumours successfully tested, 814 (37%) had a GIS of ≥ 42 . Of these, 304
271 (37%) had a *BRCA1/2* mutation, while 510 (63%) were *BRCA1/2* wild-type.

272 The GIS had a bimodal distribution (**Figure 2**). Tumours with a *BRCA1/2*
273 mutation had higher GIS than those with *BRCA1/2* wild-type. The mean GIS for
274 tumours with a *BRCA1/2* mutation was 61 (median 62; range 3-90; interquartile range
275 54-70; standard deviation 13) compared to 33 for *BRCA1/2* wild-type tumours (median
276 28; range 0-100; interquartile range 18-45; standard deviation 20; t-test $P < 0.0001$)
277 (**Figure 3**).

278 Of the 337 tumours with a *BRCA1/2* mutation that were successfully tested for
279 GIS, 33 (10%) were GIS-negative. Although these tumours did not meet the GIS
280 threshold for homologous recombination deficiency, they were classified as
281 homologous recombination deficient due to the presence of a tumour *BRCA1/2*
282 mutation.

283

284 *Prevalence of tumours positive for homologous recombination deficiency*

285 By including the number of tumours successfully tested for *BRCA1/2* mutation or a
286 GIS, the prevalence of homologous recombination deficiency was 36% (895/2,474)
287 and 37% (814/2,178), respectively.

288

289 **Discussion**

290 *Summary of Main Results*

291 This observational study reports the largest real world evaluation of routine tumour
292 testing for homologous recombination deficiency in women diagnosed with ovarian
293 cancer (26). Over 12 months of testing, 895 women with newly diagnosed FIGO stage
294 III/IV high-grade epithelial ovarian, fallopian tube or primary peritoneal cancer in
295 England, Wales and Northern Ireland were found to have a homologous
296 recombination deficient tumour. In the UK, around 7,500 women are diagnosed with
297 ovarian cancer each year. Of these, approximately 5,000 will be diagnosed with FIGO
298 stage III/IV high-grade epithelial ovarian, fallopian tube or primary peritoneal cancer.
299 The number of cases tested for homologous recombination deficiency in this study
300 represents almost half of these women. Moreover, it is notable that tumour testing was
301 not available in Wales and Northern Ireland until Autumn 2021, meaning fewer than
302 12 months of eligible women were included from these countries. No cases from
303 Scotland were included in this study either. The rapid uptake of homologous
304 recombination deficiency testing across the NHS demonstrates a concerted effort
305 amongst multi-disciplinary teams to identify women most likely to respond to first-line

306 maintenance PARPi. The substantially higher number of GIS-positive tumours with
307 *BRCA1/2* wild-type compared to *BRCA1/2* mutations demonstrates the value of using
308 mutational scar assays to identify potentially PARPi sensitive tumours, above and
309 beyond standard germline and somatic *BRCA1/2* testing (18, 27, 28).

310

311 *Results in the Context of Published Literature*

312 The prevalence of homologous recombination deficient tumours in this study was
313 lower than anticipated. It has been suggested that approximately 50% of high-grade
314 serous ovarian cancers harbour a genetic or epigenetic mutation that brings about
315 homologous recombination deficiency (17). The relative lower prevalence in this study
316 may have occurred because of a number of reasons. Firstly, eligibility criteria specified
317 FIGO stage III/IV high-grade epithelial ovarian, fallopian tube or primary peritoneal
318 cancer. As a result, non-high-grade serous carcinomas such as endometrioid (grade
319 2 or grade 3) and clear cell will have been tested. These subtypes account for
320 approximately 10 to 15% of high-grade ovarian cancers and are rarely deficient in
321 homologous recombination (26, 29, 30, 31, 32, 33). Secondly, eligibility criteria did not
322 mandate a response to first-line platinum-based chemotherapy prior to tumour testing.
323 Thus, tumours that did not respond to first-line platinum would have been tested, and
324 these are highly unlikely to be homologous recombination deficient (18). Thirdly, fewer
325 germline *BRCA1/2* mutations may have been included in this study. Women with
326 newly diagnosed FIGO stage III/IV high-grade ovarian cancer who are known germline
327 *BRCA1/2* heterozygotes can access maintenance olaparib plus bevacizumab without
328 requiring tumour testing (11). Fourthly, observational data suggests that tumour
329 samples with higher chemotherapy response scores (2/3 versus 1) following

330 neoadjuvant chemotherapy are more likely to be deficient in homologous
331 recombination, but also more likely to fail testing (34). Therefore, homologous
332 recombination proficient tumours are often disproportionately reported in cases
333 treated with neoadjuvant chemotherapy plus delayed primary surgery. Fifthly, this
334 study shows a relatively higher rate of complete and partial assay failure compared to
335 clinical trials, meaning a significant number of patients with a tumour *BRCA1/2*
336 mutation may have been missed (10, 11). Finally, our real world data is likely to include
337 more elderly patients compared to clinical trials. Observational data from the
338 BriTROC-1 study has demonstrated that the presence of homologous recombination
339 deficient-related single nucleotide variant-signature 3 inversely correlates with age
340 (35).

341

342 *Strengths and Weaknesses*

343 There are three main limitations with this study. Firstly, no clinical data have been
344 provided. This information was not mandated on the test request form. Thus, we are
345 unable to determine the distribution of homologous recombination deficiency across
346 demographic groups. Secondly, no follow-up data have been provided. Therefore, we
347 cannot determine whether testing influenced clinical decision making. The UK testing
348 scheme did not mandate treatment with first-line maintenance olaparib plus
349 bevacizumab for *BRCA1/2* mutant or GIS-positive tumours. In fact, because the
350 optimal first-line maintenance therapy for FIGO stage III/IV high-grade epithelial
351 ovarian, fallopian tube or primary peritoneal cancer has not been precisely defined,
352 several alternative options are available including olaparib, niraparib or bevacizumab
353 (8, 10, 36). Thirdly, the germline and somatic status of each tumour *BRCA1/2* mutation

354 is unknown. No tumour DNA sequencing assay is able to distinguish between germline
355 and somatic *BRCA1/2* variants. Thus, we are unable to report whether GIS was
356 affected by germline or somatic status. Interestingly, 10% of tumours with a *BRCA1/2*
357 mutation had a GIS of <42. The reason for this unusual genotype is unclear but may
358 suggest certain *BRCA1/2* mutations having a passenger role in carcinogenesis. Those
359 patients found to have a *BRCA1/2* mutant/GIS-negative tumour should be more
360 closely observed for poorer response to PARPi therapy.

361

362 *Implications for Practice and Future Research*

363 We report data from the largest observational study evaluating homologous
364 recombination deficiency in newly diagnosed FIGO stage III/IV high-grade epithelial
365 ovarian, fallopian tube or primary peritoneal cancer. These data show the value of
366 tumour testing to identify women most likely to respond to first-line maintenance
367 PARPi. These data demonstrate the importance of homologous recombination
368 deficiency testing to optimise outcomes for eligible women. The relatively high failure
369 rate of testing, resulting from formalin-fixed, paraffin-embedded tissue with low tumour
370 cellularity and/or low tumour DNA yield, also highlights the need for local multi-
371 disciplinary teams to carefully select tumour tissue to be tested. Finally, the rapid
372 uptake of homologous recombination deficiency testing in England, Wales and
373 Northern Ireland demonstrates the power of centralised NHS funding, centre
374 specialisation and the NHS Genomic Laboratory Hub network.

375

376 **Conclusions**

377 The real world prevalence of homologous recombination deficient tumours in women
378 with newly diagnosed, FIGO stage III/IV high-grade epithelial ovarian, fallopian tube
379 or primary peritoneal cancer in England, Wales and Northern Ireland was 37%. Most
380 of tumours positive for homologous recombination deficiency were *BRCA1/2* wild-type

381 **Funding**

382 The Myriad myChoice® companion diagnostic was funded by AstraZeneca PLC
383 (Address: 1 Francis Crick Avenue, Cambridge Biomedical Campus, Cambridge CB2
384 0AA, United Kingdom; Tel: +44 (0)20 3749 5000).

385

386 **Acknowledgement**

387 The data reported in this manuscript has been presented at the European Society of
388 Medical Oncology 2022 Congress (*Annals of Oncology* 2022;33(suppl_7):S235-
389 S282).

390

391 **Conflicts of interest**

392 RDM, BMB, SN, HS, LY-S, YW, MV-P, RW, SM, SMc, EH, AG, SS, SN, SST and
393 DGRE declare no conflicts of interest.

394 KT is an employee and stockholder of Myriad Genetics.

395 ARC declares research funding from AstraZeneca.

396 SMac declares travel funding and speaker fees from AstraZeneca, Merck and Eli Lilly.

397 LT declares honoraria from AstraZeneca, Clovis Oncology, GSK and Tesaro.

398 RM declares honoraria from AstraZeneca, Merck Sharp & Dohme and GSK and
399 research funding from Barts & The London Charity, Rosetrees Trust, GSK and
400 Yorkshire Cancer Research.

401 JB declares honoraria from AstraZeneca and GSK and consulting and advisory roles
402 in Clovis Oncology and GSK.

403 SB declares institutional grants from AstraZeneca and GSK, personal fees for
404 speaker, consulting/advisory roles from Amgen, AstraZeneca, Clovis Oncology,
405 EpsilonGen, GSK, Immunogen, Mersana, Merck Sharp & Dohme, Merck Serono,
406 Novartis, OncXerna, Pfizer, Roche, Shattuck Labs & Takeda.

407 RSK declares honoraria from AstraZeneca, Clovis Oncology, GSK and Incyte and
408 travel support from AstraZeneca, GSK and Sierra Oncology and trial grants from
409 Merck Sharp & Dohme and consulting fees from Basilea Pharmaceutica and Shattuck
410 Pharma.

411 IMcN declares honoraria from AstraZeneca, Clovis Oncology, Epsila Bio, GSK, Roche
412 and Scancell and institutional funding from AstraZeneca.

413 JAL declares honoraria from AstraZeneca, Clovis Oncology, GSK, Eisai, Neopharm,
414 Artios Pharma, Merck/Merck Sharp & Dohme, Mersana, Regeneron, VBL
415 Therapeutics, Nuvation and Bristol Myers Squibb.

416 GCJ declares research funding from AstraZeneca.

417

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543

	<i>BRCA1</i> (N=220)	<i>BRCA2</i> (N=165)	Total (N=385)
<i>Nucleotide level</i>			
Small deletions	82 (37)	90 (55)	172 (45)
Single nucleotide variants	95 (43)	48 (29)	143 (37)
Small duplications	19 (9)	19 (12)	38 (10)
Large genomic rearrangements	18 (8)	3 (2)	21 (5)
Small insertion-deletions	6 (3)	3 (2)	9 (2)
Small insertions	0	2 (1)	2 (1)
<i>Protein level</i>			
Frameshift-deletions	96 (44)	105 (64)	201 (52)
Nonsense	59 (27)	44 (27)	103 (27)
Splice	22 (10)	8 (5)	30 (8)
Large genomic rearrangements	18 (8)	3 (2)	21 (5)
Missense	16 (7)	4 (2)	20 (5)
Intron	9 (4)	1 (1)	10 (3)

Table 1. Types of tumour *BRCA1/2* pathogenic and likely pathogenic variants.
Data is presented as number (percentage).

Figure Legends

Figure 1. Lollipop diagram showing the loci of each pathogenic or likely pathogenic variant in *BRCA1* and *BRCA2*. Key: (A) *BRCA1* and (B) *BRCA2*; splice site, intronic variants and large genomic rearrangements are not included; the number of circles on each lollipop stick indicates the number tumours containing that variant; the exons of *BRCA1* and *BRCA2* proteins are numbered; reference sequences are LRG_292(*BRCA1*) and LRG_293(*BRCA2*).

Figure 2. Bar graph showing the distribution of Genomic Instability Scores in tumours with a *BRCA1/2* mutation or wild-type. Two-thousand-one-hundred-and-seventy-eight tumours were successfully tested for Genomic Instability Score.

Figure 3. Dot plot diagram showing the Genomic Instability Score of tumours with a *BRCA1/2* mutation or wild-type. Key: each dot represents the Genomic Instability Score (GIS) for a single tumour; the dotted line at GIS = 42 represents the threshold at which a tumour is classified as deficient in homologous recombination.